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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/701,514	11/30/2000	Michael P Hollier	36-1413	5206
23117	7590	03/10/2005	EXAMINER	
NIXON & VANDERHYE, PC 1100 N GLEBE ROAD 8TH FLOOR ARLINGTON, VA 22201-4714			NATNAEL, PAULOS M	
			ART UNIT	PAPER NUMBER
			2614	

DATE MAILED: 03/10/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	09/701,514	HOLLIER, MICHAEL P	
	<b>Examiner</b>	<b>Art Unit</b>	
	Paulos M. Natnael	2614	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 15 September 2004.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-12 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \* c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |   |   |
|---|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)             | 4) <input type="checkbox"/> Interview Summary (PTO-413)                     |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)    | Paper No(s)/Mail Date. _____  |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| Paper No(s)/Mail Date _____   | 6) <input type="checkbox"/> Other: _____                                    |

## DETAILED ACTION

### ***Claim Rejections - 35 USC § 103***

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wolf et al., U.S. Pat. No. 5,596,364 in view of Cooper, U.S. 5,572,261.

Considering claim 1, Wolf et al disclose the following claimed subject matter, note;

a) measuring the actual synchronization errors between the audio and visual elements of the stimulus is met by audio-visual synchronization processor 160, Fig.6, which outputs audio-visual sync value  $S_{av}$ . (col. 12, lines 60-64)

c) generating a measure of subjective quality from said synchronization errors and characteristics is met by the disclosure "Subjective human test panel results are generated for a variety of audio-video test samples and objective test results are also generated by the apparatus.." (See Abstract).

d) analyzing the audio and visual elements of the stimulus for the presence of characteristic features indicative of the significance of synchronization errors, is met by

Art Unit: 2614

the disclosure "the audio-visual synchronization unit 160 in FIG. 7 uses the video delay 15 d.sub.v as output by the video alignment processor 50 and the audio delay 115 d.sub.a as output by the audio alignment processor 150 and produces the audio-visual synchronization 165 s.sub.av. Advantageously, the audio-visual synchronization s.sub.av provides a measure of the perceptual change in audio-visual synchronization from a source of audio-visual information to a destination of audio-visual information via a transmission channel." (col. 14, lines 4-12)

e) modifying the measure of subjective quality derived from the synchronization errors and characteristics according to whether said characteristic feature are present, is met by the disclosure that "Subjective tests are normally performed by having a large panel of viewers judge the perceived video quality. However, these subjective tests are very expensive and time consuming to conduct." (col. 3, lines 32-36) "Subjective testing 39 is conducted using the source video 1, the impaired destination video 5', and a large panel of viewers to judge the impairment of the impaired destination video 5' with respect to the source video 1. The subjective testing 39 produces the viewing panel results 40. (col. 6, lines 52-56)

Except for;

b) identifying characteristics of audio and visual cues in the stimulus;

Regarding b) Wolf et al. discloses a perception-based audio visual synchronization measurement system wherein "Subjective human test panel results are

Art Unit: 2614

generated for a variety of audio-video test samples and objective test results are also generated by the apparatus and measurement of audio visual synchronization are conducted.” (see Abstract) Wolf also discloses “ video delay of a scene that contains a small amount of motion (such as a head and shoulders scene with only the lips and eyes moving) may be quite different from the video delay of a scene that contains a large amount of motion (such as a football game). As a result, the audio-visual synchronization measured with traditional test signals is not easily related to the perceptual audio-visual synchronization of the video when that video is something other than the test signal itself. This can lead to inaccurate and irrelevant measurement results.” (col. 2, lines 53 through col. 3, line 6) Cooper on the other hand teaches automatic audio/video timing measurement **by comparing particular types of movements and other cues in the visual signal to particular types of sounds in the audio signal**. Therefore, it would have been obvious to the skilled in the art at the time the invention was made to modify the system of Wolf et al by providing the visual cues of Cooper so that Wolf, instead of extracting only sample frames, would also determine small amount of motion in the video allowing for a robust visual synchronization measurement and perceptual audio-visual synchronization.

Considering claim 2, the claimed wherein the characteristics of the audio and visual cues are used to generate one or more synchronization error tolerance values.

Regarding claim 2, see rejection of claim 1 (a).

Art Unit: 2614

Considering claim 3, a method as claimed in claim 2, wherein the audio-visual stimulus is monitored for occurrences of synchronization errors exceeding such tolerance values, is met by the disclosure that "Preferably, the time alignment processor 34 only computes the video delay ( $d_{sub.v}$ ) when motion is present in the source video. When there is no motion in the source video, the video delay need not be measured. The standard deviation of the  $x_{sub.2}$  ( $t_{sub.N}$ ) time series is used to determine the amount of motion in the source video, and hence serves as a useful method for determining the threshold below which there is no motion." (see col. 9, lines 46-53; see also rejection of claim 1(a)).

Considering claim 4, a method according to claim 3, wherein the means generating the stimulus is controlled to maintain the synchronization in a predetermined relationship with the said tolerance values.

Regarding claim 4, see rejection of claim 3.

Considering claim 5, wherein the resulting measure of subjective quality is used to control the operation of an avatar animation process;

Regarding claim 5, Wolf et al. discloses, "The video portion of the invention may be used to measure the video quality and video delay of transmission channels. The video may include moving images as well as still images." (col. 1, lines 16-19) Wolf et al. does not specifically disclose whether or not the result of the measurement of subjective quality is to control the operation of an avatar animation process. However,

Art Unit: 2614

Examiner takes Official Notice in that it is well known in the art that an avatar or character animation processing requires the synchronization of the audio/voice of the character with the character's movements. Therefore, it would have been obvious to the skilled in the art at the time the invention was made to modify the system of Wolf et al. by providing an avatar or character animation processing capability in order to be able to control more systems and enhance the usefulness of the system.

Considering claim 6, apparatus for determining the subjective quality of an audio-visual stimulus, comprising:

a) means for measuring the actual synchronization errors between the audio and visual elements of the stimulus, is met by audio-visual synchronization processor 160, Fig.6.  
(col. 12, lines 60-64)

c) means for generating a measure of subjective quality from said synchronization errors and characteristics, is met by the disclosure "Subjective human test panel results are generated for a variety of audio-video test samples and objective test results are also generated by the apparatus.." (See Abstract).

d) means for analyzing the audio and visual elements of the stimulus for the presence of characteristic features indicative of the significance of synchronization errors, is met by the disclosure "the audio-visual synchronization unit 160 in FIG. 7 uses the video delay

Art Unit: 2614

15 d.sub.v as output by the video alignment processor 50 and the audio delay 115 d.sub.a as output by the audio alignment processor 150 and produces the audio-visual synchronization 165 s.sub.av. Advantageously, the audio-visual synchronization s.sub.av provides a measure of the perceptual change in audio-visual synchronization from a source of audio-visual information to a destination of audio-visual information via a transmission channel.” (col. 14, lines 4-12)

e) means for modifying the measure of subjective quality derived from the synchronization errors and characteristics according to whether said characteristic feature are present, is met by the disclosure that “Designers of video transmission equipment and standards organizations have resorted to using subjective tests when they require accurate measurements of video quality... Subjective tests are normally performed by having a large panel of viewers judge the perceived video quality. However, these subjective tests are very expensive and time consuming to conduct.” And, “Subjective testing 39 is conducted using the source video 1, the impaired destination video 5', and a large panel of viewers to judge the impairment of the impaired destination video 5' with respect to the source video 1. The subjective testing 39 produces the viewing panel results 40. (col. 1, lines 30-32 and col. 3, lines 32-36, col. 6, lines 52-56, respectively)

Except for;

b) means for the identifying characteristics of audio and visual elements of the stimulus;

Regarding b), see rejection of claim 1(b).



Considering claim 7, apparatus according to claim 6, wherein the means for identifying cue characteristics generates one or more synchronization error tolerance values.

Regarding claim 7, see rejection of claim 3;

Considering claim 8, apparatus as claimed in claim 7, comprising means for monitoring the audio-visual stimulus for occurrences of synchronization errors exceeding said tolerance values.

Regarding claim 8, see rejection of claim 3;

Considering claim 9, apparatus according to claim 8, comprising means for controlling the means generating the stimulus to maintain the synchronization in a predetermined relationship with the said tolerance values;

Regarding claim 9, see rejection of claim 4.

Considering claim 10, the apparatus according to claim 9, further comprising animation process means controlled by the subjective quality measurement means to generate an animated image;

Regarding claim 10, see rejection of claim 5.

Regarding claims 11 and 12, wherein the audio-visual stimulus is a "talking-head" is met by the disclosure that "Wolf also discloses " video delay of a scene that contains a small

Art Unit: 2614

amount of motion (such as a head and shoulders scene with only the lips and eyes moving) may be quite different from the video delay of a scene that contains a large amount of motion (such as a football game). As a result, the audio-visual synchronization measured with traditional test signals is not easily related to the perceptual audio-visual synchronization of the video when that video is something other than the test signal itself. This can lead to inaccurate and irrelevant measurement results." (col. 2, lines 53 through col. 3, line 6)

### ***Response to Arguments***

3. Applicant's arguments filed September 15, 2004 have been fully considered but they are not persuasive. In response to applicant's argument that "Wolf does not disclose any analysis of the individual audio and visual signals content for the presence of features indicative of how perceptually significant such synchronization errors may be," the Applicant's attention is directed to the Abstract where it is disclosed that "a method for objectively and non-intrusively measuring the audio-visual quality of a destination audio-video signal that generates measurement parameters that are indicative of human image quality perceptions. Subjective human test panel results are generated for a variety of audio-video test samples and objective test results are also generated by the apparatus of the invention for the variety of audio-video test samples. Accordingly, when the apparatus extracts test frames from the actual source and destination audio-video signals and compares them, audio-visual quality parameters are

Art Unit: 2614

output by the apparatus that are indicative of the audio-visual quality of the destination audio-visual signal based upon the source audio-visual signal.

In response to applicant's argument that the references fail to show certain features of applicant's invention, it is noted that the features upon which applicant relies (i.e., "... variation in the value of perceived subject error so determined... [A]nalyze or account for any differentiation in the greater noticeability of a "talking head" synchronization error as compared to a "voice over" synchronization error", and, "allowance for the significance of the type of image or sound being transmitted in determining what the perceptual significance of the synchronization error is...") are not recited in the rejected independent claim(s) 1 and 6. Although the claims are interpreted in light of the specification, limitations from the specification are not read into the claims. See *In re Van Geuns*, 988 F.2d 1181, 26 USPQ2d 1057 (Fed. Cir. 1993).

### **Conclusion**


Any inquiry concerning this communication or earlier communications from the examiner should be directed to Paulos M. Natnael whose telephone number is (703) 305-0019. The examiner can normally be reached on 9:00am - 5:30pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, John Miller can be reached on (703) 305-4795. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Art Unit: 2614

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

PMN  
March 6, 2005



**PAULOS M. NATNAEL**  
**PATENT EXAMINER**